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Applicants or Patentees: Denisa D. Wagner and Robert C. Johnson

Attorney's

Serial or Patent No.: ---

Docket No.: C0279/7017

Filed or Issued: HERewith

For: METHOD FOR TREATING AND PREVENTING ATHEROSCLEROSIS

DECLARATION CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(e) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Center For Blood Research, Inc.  
ADDRESS OF ORGANIZATION: 800 Huntington Avenue  
Boston, MA 02115

TYPE OF ORGANIZATION:

☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 USC 501(a) and 501(c) (3)

☒ NONPROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OR STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE: MASSACHUSETTS)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the invention entitled METHOD FOR TREATING AND PREVENTING ATHEROSCLEROSIS by inventors, Denisa D. Wagner and Robert C. Johnson, described in the specification filed herewith.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual person or organization having rights to the invention is deemed to have no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention claiming to claim status as small entities (37 CFR 1.47)

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

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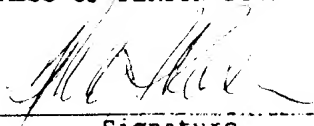
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I declare under oath that the statements made herein of my own knowledge and belief are true, and that the statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Fred S. Rosen, MD

TITLE IN ORGANIZATION: President

ADDRESS OF PERSON SIGNING : 800 Huntington Avenue, Boston, MA 02115

  
\_\_\_\_\_  
Signature

January 23, 1995

\_\_\_\_\_  
Date